



REG-4-A

Financial Responsibility Bond

Part 1: Financial responsibility bond type and number

a Bond type:

b Financial responsibility bond number: _____

Part 2: Taxpayer and financial institution information

We, _____ (as principal)
Taxpayer's name and address

and

Name and address of surety (as surety)

are bound to the people of the State of Illinois in the penal sum of \$_____. We hereby bind ourselves, our heirs, executors, administrators, successors, and assigns to the payment of this amount.

The condition of this bond is that if the principal (taxpayer) identified above, who has applied for the tax responsibility (bond type) identified above, in Part 1, pays to the Illinois Department of Revenue (IDOR) all amounts becoming due from the principal (taxpayer) under this law, then the bond will become void; otherwise, the bond will remain in full force.

The surety identified above may conditionally cancel this bond at any time by filing a written notice with IDOR by registered or certified mail within _____ days. However, the surety is not discharged from any liability previously accrued under this bond or that may accrue before the _____ days expires.

Part 3: Financial responsibility bond signatures and seal requirements

We have signed and sealed this bond on ____/____/_____, to be effective ____/____/_____.
You must attach a power of attorney.

(Principal's seal)

(Surety's seal)

Principal's (taxpayer) signature

Surety's signature

Attorney-in-fact's signature

President's or co-partner's signature

Countersigned by

Corporate secretary's signature

Agent for surety

Number and street

City

State

ZIP

For official use only

Date approved: ____/____/_____
Month Day Year

IDOR Director's signature

License number: _____

You must provide this information to the bank or insurance company that will be providing your bond. A separate bond is required for each location. You will not be issued a certificate of registration and cannot be legally registered to do business in Illinois until we receive your bond. Your bond can be in the form of a

Insurance bonds:

Form REG-4-A, Financial Responsibility Bond, must be completed entirely by your insurance company. Your business, name and address must be identical to the information you have registered with us. The insurance company issuing your bond must

- ☐ sign;
- ☐ stamp their insurance seal;
- ☐ assign a bond number; and
- ☐ if applicable, attach their power of attorney stating the attorney-in-fact's name.

All of your business' owners, officers, or partners must sign the bond. If you are a corporation, the president and secretary must sign the bond. You must also affix your corporate seal. **Note:** The original bond and power of attorney must be sent to us.

Letter of Credit:

Form REG-4-D, Financial Institution Irrevocable Letter of Credit Bond, must be completed entirely by your bank if you are providing a bank letter of credit. Your business' name and address must be identical to the information you have registered with us. The bank issuing your letter of credit must

- ☐ stamp their bank seal; and
- ☐ send to us the original bank letter of credit containing the seal.

Note: The Letter of Credit must be signed by an authorized officer of the banking institution.

Certificates of Deposit:

Any bank may issue you a Certificate of Deposit to satisfy your bond requirements. A Certificate of Deposit must

- ☐ be made payable to the Director, Illinois Department of Revenue;
- ☐ state that the Certificate of Deposit is automatically renewable;
- ☐ have a maturity date of 12 months or less; and
- ☐ have your business' name and identification number (*i.e.*, Federal Employer's Identification number (FEIN) or Social Security number).

If you prefer, you can send us a cashier's check and we will purchase the Certificate of Deposit for you.

Note: The original Certificate of Deposit must be sent to us, receipts are not acceptable.